



Business Continuity Plan Disclosure Statement

1. Introduction

Meraki Global Advisors LLC (“MGA” or the “Firm”) has developed a Business Continuity Plan (“BCP”) as to how the Firm will respond to events that significantly disrupt its business. Since the timing and impact of disasters and disruptions is unpredictable, the Firm will have to be flexible in responding to actual events as they occur. With that in mind, the Firm is providing you with this information regarding the Firm’s BCP.

2. Contacting MGA

If, after a significant business disruption (“SBD”), you cannot contact MGA as you usually do, you should call Michael Ashby, the Firm’s Chief Operating Officer, at (435) 214-0772 or ma@mga-us.com.

3. MGA’s BCP

MGA plans to quickly recover and resume business operations after an SBD and respond by safeguarding the Firm’s associated persons and property, making financial and operational assessments, protecting the Firm’s books and records, and allowing the Firm’s clients to continue transacting business with the Firm. In short, the Firm’s BCP is designed to permit the Firm to resume operations as quickly as possible, given the scope and severity of the SBD.

MGA’s BCP addresses: (i) data backup and recovery; (ii) all mission critical systems; (iii) financial and operational assessments; (iv) alternative communications with clients, associated persons, and regulators; (v) alternate physical location of associated persons; (vi) critical supplier, contractor, bank, and counterparty impact; and (vii) regulatory reporting.

4. Varying Disruptions

SBDs can vary in their scope, such as only to MGA, a single building housing a Firm office, the business district where an office of the Firm is located, the city where a Firm office is located, or an entire region in which a Firm office is located. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only the Firm or a building housing the Firm, the Firm’s associated persons will work remotely from their residences and expect to recover and resume business within twenty-four (24) hours or less. In a disruption affecting the Firm’s business district, city, or region, the Firm’s associated persons will work remotely from their residences and seek to recover and resume business as quickly as possible. In either situation, the Firm plans to continue business operations, and notify you of how to contact us.

5. For More Information

If you have questions about MGA’s BCP, you can direct them to Michael Ashby, at (435) 214-0772 or ma@mga-us.com (August 2022)